

**CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS  
FOR FOREIGN BANKS**

[OMB Control Number 1506-0043]

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).<sup>1</sup>

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instruction for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instruction for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. This Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

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1. A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

A. The undersigned financial institution, **Arab Banking Corporation (B.S.C.)** (“**Foreign Bank**”) hereby certifies as follows:

**B. Correspondent Accounts Covered by this Certification:** Check one box.

This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions

This Certification applies to Correspondent Accounts established by..... (*name of Covered Financial Institution(s) for Foreign Bank.*)

**C. Physical Presence/Regulated Affiliate Status:** Check one box and complete

Foreign Bank maintains a **physical presence** in any country. That means:

- Foreign Bank has a place of business at **Building No. 152, Road No. 1703, Block 317, ABC Tower, Diplomatic Area, P.O. Box 5698, Manama, Kingdom of Bahrain**, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in **Kingdom of Bahrain**, where Foreign Bank is authorized to conduct banking activities
- Foreign Bank is subject to inspection by the **Central Bank of Bahrain (“CBB”)**, the banking authority that licensed Foreign Bank to conduct banking activities.

Foreign Bank does not have a physical presence in any country, but Foreign Bank is a regulated **affiliate**. That means:

- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address:\_\_\_\_\_, where it employs one or more persons on a full- time basis and maintains operating records related to its banking activities.
- The above address is in\_\_\_\_\_(insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
- Foreign Bank is subject to supervision by\_\_\_\_\_, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.

Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

**D. Indirect Use of Correspondent Accounts:** Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:** Check box 1 or 2 below, if applicable.

1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(5) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If neither box 1 nor 2 of Part E is checked, complete item 3 below, if applicable.

3. Foreign Bank has no **owner(s)** except as set forth below For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family\* shall be considered one **person**.

Name	Address

*\*The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account*

**F. Process Agent:** complete the following.

The following individual or entity; **Arab Banking Corporation (B.S.C.)** is a resident of the United States at the following street address: **New York Branch 140 E 45<sup>th</sup> St. New York, NY, 10017-United States of America**, and is authorised to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

**G. General**

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions

I, **Andrew Wilson**, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

**ARAB BANKING CORPORATION (B.S.C.)**



**Andrew Wilson**

Group Head of Compliance

3<sup>rd</sup> March 2019

Manama – Kingdom of Bahrain

**ARAB BANKING CORPORATION (B.S.C.)**  
**Branches**

(by Alphabetic order)

Country	Name & Address	Local Regulator	Parent Regulator
Tunisia	ABC Tunis (OBU) ABC Building Rue du Lac d'Annecy Les Berges du Lac 1053 Tunis, Tunisia	Banque Centrale de Tunisie	Central Bank of Bahrain
United Kingdom	London Branch Arab Banking Corporation House 1-5 Moorgate London EC2R 6AB	Financial Conduct Authority  Prudential Regulation Authority	Central Bank of Bahrain
United States of America	New York Branch 140 East 45 <sup>th</sup> St. New York, 38 <sup>th</sup> floor NY 10017 United States of America	Office of the Comptroller of the Currency ("OCC")	Central Bank of Bahrain
United Arab Emirates	Bank ABC (DIFC Branch), Office 1203, Level 12, Burj Daman, P.O. Box 507311, DIFC, Dubai, U.A.E.	Dubai Financial Services Authority	Central Bank of Bahrain
Singapore	Bank ABC (Singapore Branch) 9 Raffles Place #60-03 Republic Plaza Singapore 048619	Monetary Authority of Singapore	Central Bank of Bahrain

**ARAB BANKING CORPORATION (B.S.C.)**  
**Representative Offices**

(by Alphabetic order)

Country	Name & Address	Local Regulator	Parent Regulator
Libya	Tripoli Representative Office That Emad Administrative Centre Tower 5, 16th Floor , P O Box 91191 Tripoli, Libya	Central Bank of Libya	Central Bank of Bahrain

**ARAB BANKING CORPORATION (B.S.C.)  
Subsidiaries**

(by Alphabetic order)

Country	Name & Address	Local Regulator	Parent Regulator
Algeria	Arab Banking Corporation- Algeria P.O. Box 367 38 Avenue des Trois Freres Bouaddou, Bir Mourad Rais, Algiers, Algeria	Bank of Algeria	Central Bank of Bahrain
Bahrain	ABC Islamic Bank Building 152, Road 1703, Block 317 P.O. Box 2808 Manama, Kingdom of Bahrain	Central Bank of Bahrain	Central Bank of Bahrain
Brazil	Banco ABC Brasil S.A. Av. Cidade Jardim, 803 - 2º andar Itaim Bibi - Zip Code:01453-000 Sao Paulo, SP, Brazil	Banco Central do Brasil	Central Bank of Bahrain
Egypt	Arab Banking Corporation- Egypt (S.A.E.) 90th street (North) – N 39 B Fifth Settlement P.O. Box 46, New Cairo	Central Bank of Egypt	Central Bank of Bahrain
Jordan	Arab Banking Corporation (Jordan) ABC building, Queen Noor Street, Shmeisani P. O. Box 926691, Amman 11190, Jordan	Central Bank of Jordan	Central Bank of Bahrain
Tunisia	Arab Banking Corporation -Tunisie ABC Buliding , Rue du Lac D'Anecy - Les Berges du Lac, 1053 Tunis, Tunisia	Banque Central de Tunisie	Central Bank of Bahrain
United Kingdom	Arab Banking Corporation House 1-5 Moorgate London, EC2R 6 AB England	Financial Conduct Authority  Prudential Regulation Authority	Central Bank of Bahrain

**ABC INTERNATIONAL BANK PLC.  
Branches**

(by Alphabetic order)

Country	Name & Address	Local Regulator	Parent Regulator
France Paris Branch	8 rue Halévy 75009 Paris, France	Banque de France	Central Bank of Bahrain
Germany Frankfurt Branch	Neue Mainzer Strasse 75 60311 Fankfurt- am- Main, Germany	Bundesbank and BaFin <sup>1</sup>	Central Bank of Bahrain
Italy Milan Branch	Via Amedei, 8 20123 Milan , Italy	Banca d'Italia	Central Bank of Bahrain

**ABC INTERNATIONAL BANK PLC  
Representative / Marketing Offices**

(by Alphabetic order)

Country	Name & Address	Local Regulator	Parent Regulator
Turkey	Turkey - Representative Office Eski Büyükdere Cad. Ayazaga Yolu Sk. Iz Plaza No: 9 Kat : 19 D: 69 34398 Maslak - Istanbul, Turkey	Central Bank of the Republic of Turkey	Central Bank of Bahrain

**Banco ABC Brasil S.A.  
Branches**

(by Alphabetic order)

Country	Name & Address	Local Regulator	Parent Regulator
Cayman Islands	Banco ABC Brasil S.A. 190 Elgin Avenue Grand Cayman KY 1-9005	Cayman Islands Monetary Authority	Central Bank of Bahrain

<sup>1</sup> German Federal Financial Supervisory Authority